

ETD 2004

June 4th, Lexington/Ky

Building-up a Co-ordination Agency for Online Dissertations and Post-doctoral Theses in Germany

Dr. Thomas Wollschläger,
German National Library (DDB)

Content

- Background on „Dissertation Online“
- Responsibilities of the Co-ordination Agency
DissOnline
- The new project of the DFG
- Surveys on the ETD publishing infrastructure at
German universities
- Design of the new information system
- Flyers and PR
- Perspectives and recommendations

History of „Dissertation Online“

1997: IuK-Initiative, Working Group Dissertation Online

1997: KMK recommendation on online publishing

1998-2000: DFG Project „Dissertation Online“

Agreements on delivery methods and on
Metadata  MetaDiss

1998: DDB collects and archives ETDs

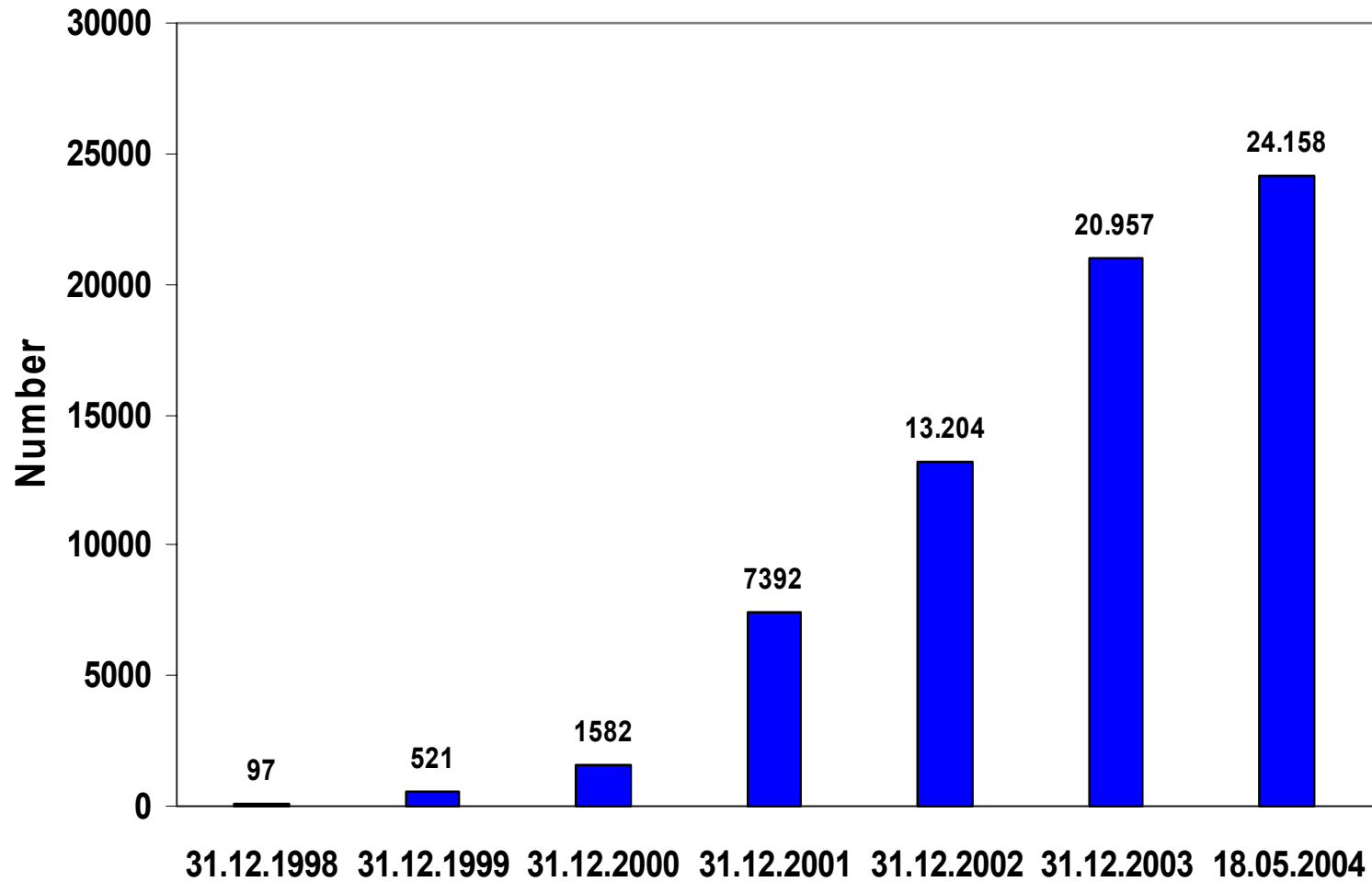
Recommendations of „Dissertation Online“

- Results must be accessible to interested parties
- Appeal to a formal participation in *DissOnline*, linked to certain conditions
- Further development of technical standards based on open, international standards (OAI etc.)
- Application of procedures - developed for theses - to electronic publications in general
- Release of practical aids on editing, structuring and documentation of electronic publications (author guides)
- Foundation of an institution at Die Deutsche Bibliothek that would co-ordinate the co-operation

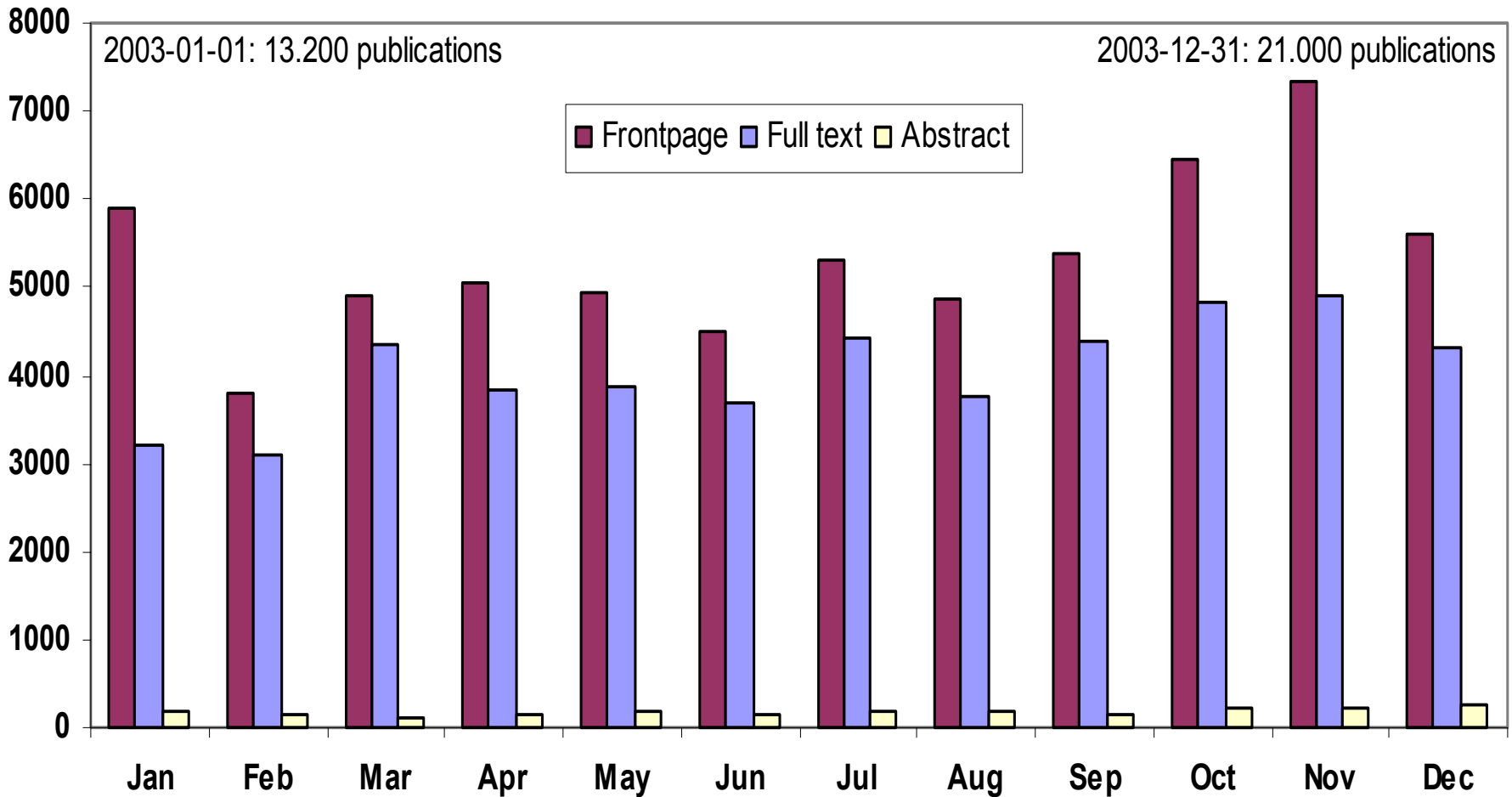
Responsibilities of the Co-ordination Agency DissOnline

- Founded on February 1, 2001 at DDB
- Contact institution; information via <http://www.dissonline.de>
- Maintains the ETD workflow from univ. to DDB
- Stimulates the co-operation of institutions and the know-how transfer
- Co-ordinates enhancements and adjustments (e.g., MetaDiss → XMetaDiss)
- Co-operation with national and international organisations (e.g., NDLTD)

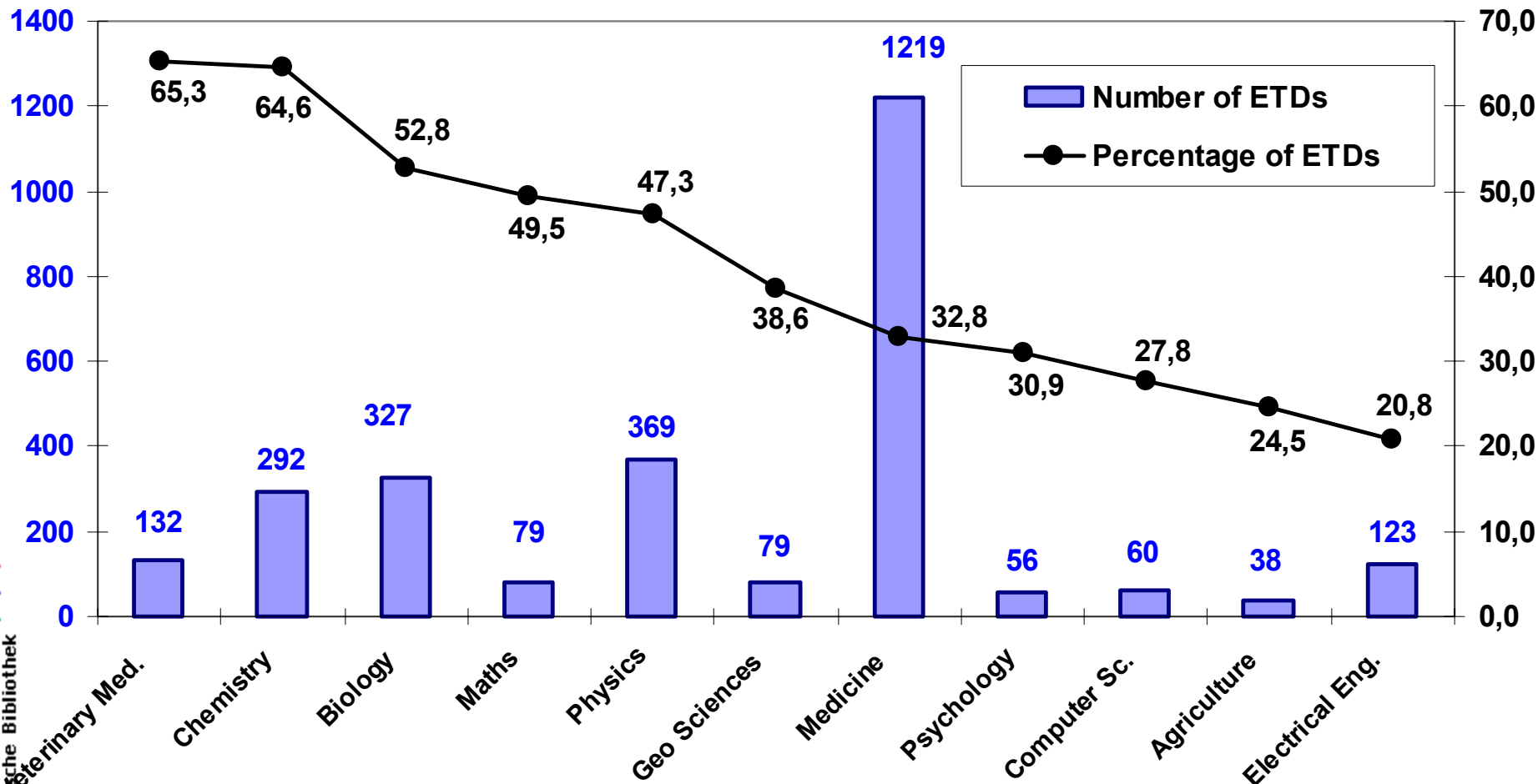
Number of archived ETDs at German National Library



World wide access on online dissertations in German National Library (Jan 2003 -- Dec 2003)



ETDs in 2003 - Top 11



New DFG project „ Building-up a Co-ordination Agency for Online Dissertations and Post- doctoral Theses in Germany“

- Analysis on the infrastructure of ETD Publishing at German universities (incl. graduation regulations, workflows, online portals and information)
- Building-up of an interactive information system
- Replenishing and upgrading the existing recommendations for doctoral candidates, departments and libraries
- Clarification of legal issues
- Proposals for an unique, practical procedure DissOnline in Germany
- Funding period: Jan. 2003 – Dec. 2004

Results of the university survey, I

Queried were all 104 universities with doctoral graduations in Germany;

Return: 74 %, incl. 47 U, 12 TU, 18 other coll.

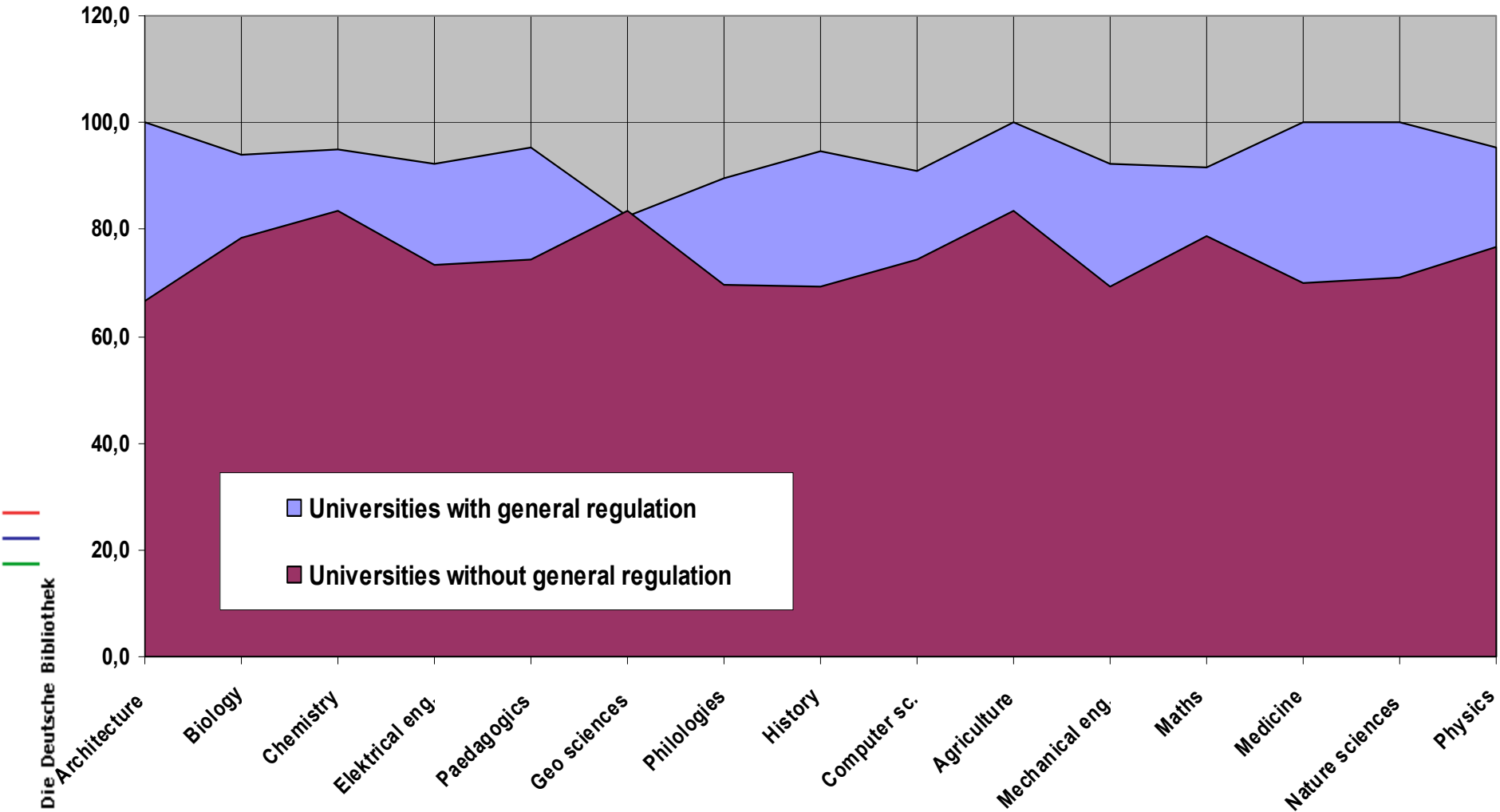
Joint doctoral theses regulation for all
Faculties/depts.:

No = 49 univ., incl. 30 U, 6 TU, 13 others

Yes = 28 univ., incl. 17 U, 6 TU, 5 others

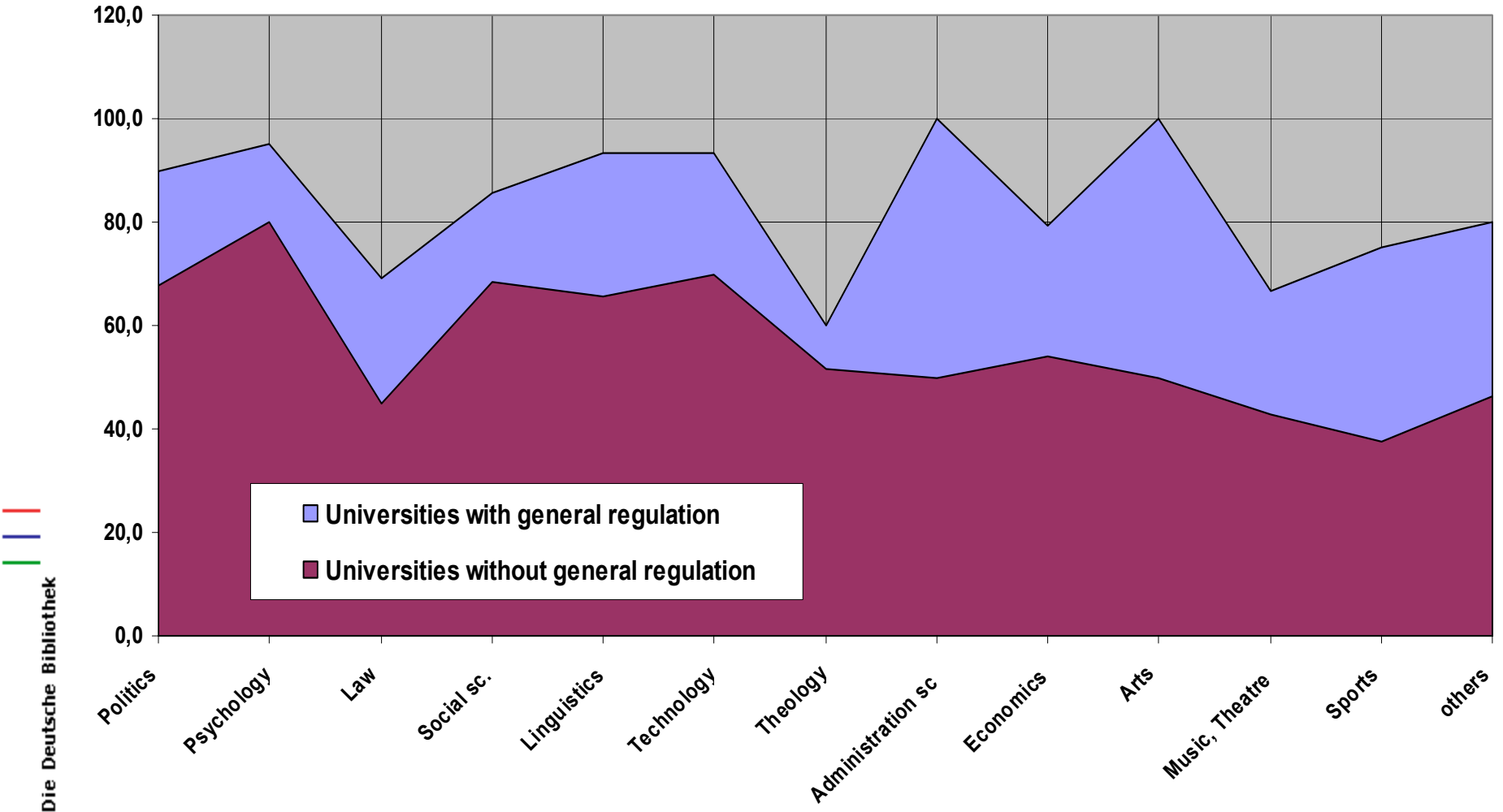
Results of the university survey, II

Online publication is possible at which faculties - I



Results of the university survey, III

Online publication is possible at which faculties - II

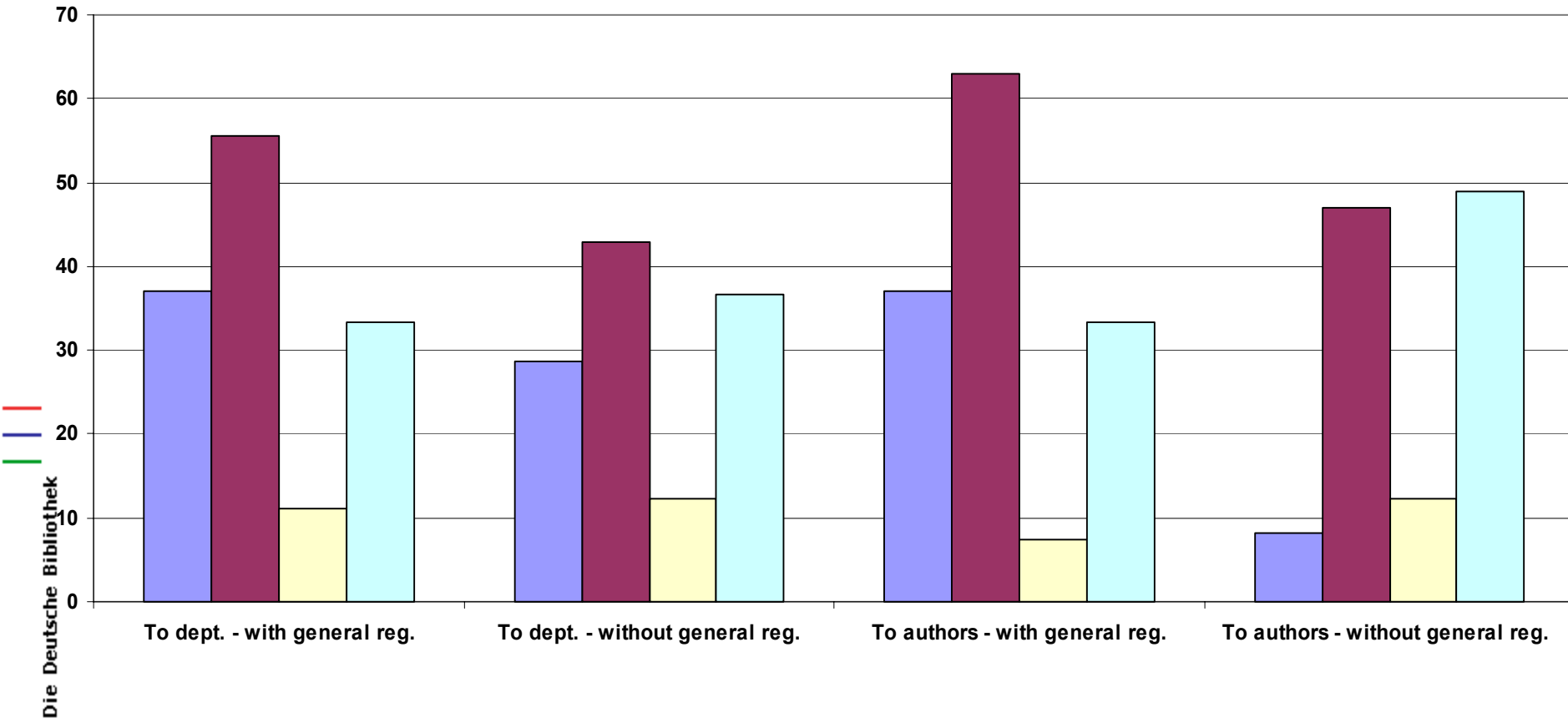


Results of the university survey, IV

Encouragement to online publishing of ETDs

(general reg. = general / overall doctoral graduation regulation)

- Yes, univ.
- Yes, library
- Yes, others
- No



Results of the doctoral candidates survey, I

- Printed questionnaires at certain universities
- Online questionnaire for authors of all universities
- Online publication: 81 %, Print: 19 % (incl. 40 % from economics)
- Who did encourage the online publication?
faculty/department: 28 %
university library: 30 %
others: 65 %, **of that alone 61 % other doctoral candidates !**
(own initiative 20 %, advisor only 6 %)

Results of the doctoral candidates survey, II

- Uncertainties concerning the publishing contract with the university library, e.g.:

„Has the contract included the option for an additional publishing in print by commercial publishers ?“

Yes – 28 %, No – 10 %, **Don't know – 63 %**

- Additional print edition by a publisher: 22 %
- 58 % did not include their vita in the ETD
- 84 % of the online publications were in PDF

Suggestions from the surveys

- Introducing a country-wide system for archiving of ETDs
- Wish for handouts, help materials and training of all sorts
- Recommendations on formats
- Country-wide portal for all ETDs
- Wish for more and better structured information in the Internet on the publication chances and available options

Information system, flyer and PR

- Homepage: <http://www.dissonline.de>
- English page:
http://www.dissonline.de/index_e.htm
- Information flyer:
<http://www.dissonline.de/aktuell/flyer.htm>
- Exemplary publishing contract:
http://www.dissonline.de/texte_html/vertragsvorlage.htm
- Survey results:
<http://www.dissonline.de/umfrage/start.html>
- Conference proceedings:
<http://www.dissonline.de/tagungen/index.html>

Present project activities

- Implementation of the XMetaDiss metadata format; see http://www.ddb.de/standards/xmetadiss/ref_xmetadiss_v1_e.pdf
- Completion of the new DissOnline Homepage in xml/xslt
- Draft of the recommendations for the new DissOnline procedure in Germany

Questions ?

Further information:

Homepage www.dissonline.de OR

www.dissonline.de/index_e.htm

Mail: dissonline@dbf.ddb.de

Tel. +49 - 69 – 1525 – 1757 / 1703

Thank You for Your attention